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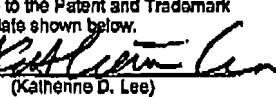
NO. 135 P. 6

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Dated: July 3, 2007

Signature:

  
(Katherine D. Lee)

JUL - 3 2007

Docket No.: 476172000100  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
**Calvin B. GRIGSBY**

Application No.: 09/896,831

Confirmation No.: 5861

Filed: June 28, 2001

Art Unit: 3692

For: **METHOD AND APPARATUS FOR  
OFFERING, PRICING, AND SELLING  
SECURITIES OVER A NETWORK**

Examiner: C. B. Graham

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

This is in response to the non-final Office Action dated January 3, 2007 (Paper No. 20061218), for which the shortened statutory period for response was on April 3, 2007. Filed herewith is a Petition and fee for a three month(s) extension of time, thereby extending the deadline for response to July 3, 2007. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the remarks presented herein are respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments begin on page 10 of this paper.**

sf-2252012